

BILL BRADBURY
SECRETARY OF STATE



ELECTIONS DIVISION
JOHN LINDBACK
DIRECTOR
141 STATE CAPITOL
SALEM, OREGON 97310-0722
ELECTIONS—(503) 986-1518

BEFORE THE SECRETARY OF STATE
OF THE
STATE OF OREGON

In the matter of an Insufficient Contribution and Expenditure)
Report by the Multnomah County Democratic Central) Final Order by Default
Committee, Jim Davis, Treasurer, for the First Pre-Election for)
the May 18, 2004, Primary Election) Case No. I8268
)

August 5, 2005

Multnomah County Democratic Central Committee (000335)
Jim Davis, Treasurer
1020 SW Taylor St, Ste 610
Portland, OR 97205

Dear Mr. Davis:

This is a Final Order by Default issued by the Secretary of State (secretary) for your failure to file a sufficient first pre-election contribution and expenditure report for the May 18, 2004, Primary Election.

On June 3, 2005, the secretary notified the Multnomah County Democratic Central Committee (committee), pursuant to ORS 260.232(2), that it had failed to file a sufficient report and that the secretary may impose a civil penalty. An opportunity for a hearing was provided in that notice; however, no request for a hearing was received by the secretary within the time permitted in the notice. Accordingly, after consideration of the records and files of the secretary regarding this matter, the secretary is entering the following Order.

FINDINGS OF FACT

1. The committee's Statement of Organization (SEL 221), applicable during the reporting period, was filed with the secretary on January 23, 2001. The statement reported Jim Davis as the treasurer and 1020 SW Taylor St, Ste 610, Portland, OR 97205 as the address to which all committee correspondence was to be sent.
2. The committee filed a first pre-election report with the secretary on April 12, 2004.
3. On April 27, 2004, the secretary sent the committee an exam letter that itemized the areas where the report was not in compliance. The committee was asked to submit the necessary amended information by May 6, 2004, to avoid penalties for failure to file a sufficient report.

4. On the day following the May 6, 2004, amendment deadline, the committee's report was still missing the following required information: sufficient occupational information for one contributor and a sufficient payee for one expenditure (2 items).
5. On June 3, 2005, the secretary sent the committee a Notice of Proposed Civil Penalty. The notice was sent by both certified and first class mail to the treasurer at the above-referenced address. The notice proposed a civil penalty of \$20.00 and allowed the committee 20 days to request a hearing. The notice sent by certified mail was returned by the post office as not deliverable as addressed. However this action was prompted by a note on the envelope from Jim Davis; he indicated that it should be sent to Kathy Jackson, the current treasurer of record.
6. The committee did not request a public hearing or submit notarized testimony in lieu of a public hearing.
7. The secretary has record of no previous insufficient filings during the two-year period beginning September 15, 2003.

ULTIMATE FINDINGS OF FACT

Jim Davis, Treasurer, failed to file a sufficient first pre-election contribution and expenditure report for the May 18, 2004, Primary Election.

CONCLUSIONS OF LAW

Treasurer Jim Davis's failure to file a sufficient first pre-election contribution and expenditure report for the May 18, 2004, Primary Election violated ORS 260.063, ORS 260.083 and OAR 165-012-0005. A civil penalty may be imposed for this violation under ORS 260.232(1)(b). The treasurer of record, in this case Jim Davis, is responsible for any penalty because he was the treasurer of record when the report was filed.

A maximum \$10,000 civil penalty may be imposed for this violation. The *2004 Campaign Finance Manual*, which contains a penalty calculation matrix on pages 104 and 105, is designated in OAR 165-012-0005 as the procedure manual for Oregon campaign finance regulations.

Since this is the first violation by the treasurer, the penalty is \$10.00 per omission.

ORDER

It is ordered that no penalty be assessed because the appropriate penalty is under \$50.

RIGHT TO JUDICIAL REVIEW

You have the right to appeal this Order to the Oregon Court of Appeals pursuant to ORS 183.482. To appeal you must file a petition for judicial review with the Court of Appeals within 60 days from the day this Order was served to you. Because this Order was mailed to you, the date of service is the day it was *mailed*, not the day you received it. If you do not file a petition for judicial review within the 60-day time period, you will lose your right to appeal.

Jennifer Hertel, Compliance Specialist

DATE of Service: _____