

BILL BRADBURY
SECRETARY OF STATE



ELECTIONS DIVISION
JOHN LINDBACK
DIRECTOR
141 STATE CAPITOL
SALEM, OREGON 97310-0722
ELECTIONS — (503) 986-1518

BEFORE THE SECRETARY OF STATE
OF THE
STATE OF OREGON

In the matter of an Insufficient Contribution and Expenditure)
Report by the Lane County Democratic Central Committee,) Final Order by Default
Rick Grosscup, Treasurer, for the Supplemental of 2005)
) Case No. I9247
)

October 18, 2007

Lane County Democratic Central Committee (000324)
Rick Grosscup, Treasurer
PO Box 11138
Eugene, OR 97440

Dear Mr. Grosscup:

This is a Final Order by Default issued by the Secretary of State (secretary) for your failure to file a sufficient supplemental contribution and expenditure report for 2005.

On August 1, 2007, the secretary notified the Lane County Democratic Central Committee (committee), pursuant to ORS 260.232(2), that it had failed to file a sufficient report and that the secretary may impose a civil penalty. An opportunity for a hearing was provided in that notice; however, no request for a hearing was received by the secretary within the time permitted in the notice. Accordingly, after consideration of the records and files of the secretary regarding this matter, the secretary is entering the following Order.

FINDINGS OF FACT

1. The committee's Statement of Organization (SEL 221), applicable during the reporting period, was filed with the secretary on December 16, 2004. The statement reported Rick Grosscup as the treasurer and PO Box 11138, Eugene, OR 97440 as the address to which all committee correspondence was to be sent.
2. The committee filed a supplemental report with the secretary on September 14, 2005.
3. On September 27, 2005, the secretary sent the committee an exam letter that itemized the areas where the report was not in compliance. The committee was asked to submit the necessary amended information by October 10, 2005, to avoid penalties for failure to file a sufficient report.

4. On the day following the October 10, 2005, amendment deadline, the committee's report was still missing the following required information: complete detail of occupational information on one contribution, payee's names were incomplete on two expenditures and personal expenditures for reimbursement detail not provided on personal expenditures of \$74.00 (4 items).
5. On March 10, 2006, an amendment to the committee's report was filed. The amendment provided three items of previously insufficient information.
6. On August 1, 2007, the secretary sent the committee a Notice of Proposed Civil Penalty. The notice was sent by both certified and first class mail to the treasurer at the above-referenced address. The notice proposed a civil penalty of \$28.70 and allowed the committee 20 days to request a hearing. The notice sent by certified mail was received and signed for by Sydney P. Hetfeld on August 8, 2007.
7. The committee did not request a public hearing or submit notarized testimony in lieu of a public hearing.
8. The secretary has record of no previous insufficient filings during the two-year period beginning September 15, 2003.

ULTIMATE FINDINGS OF FACT

Rick Grosscup, Treasurer, failed to file a sufficient supplemental contribution and expenditure report for 2005.

CONCLUSIONS OF LAW

Treasurer Rick Grosscup's failure to file a sufficient supplemental contribution and expenditure report for 2005 violated ORS 260.073, ORS 260.083 and OAR 165-012-0005. A civil penalty may be imposed for this violation under ORS 260.232(1)(b).

A maximum \$10,000 civil penalty may be imposed for this violation. The *2004 Campaign Finance Manual*, which contains a penalty calculation matrix on pages 104 and 105, is designated in OAR 165-012-0005 as the procedure manual for Oregon campaign finance regulations.

Since this is the first violation by the treasurer, the penalty is \$10.00 per omission, less 50% for every omitted item provided prior to the issuance of the proposed penalty notice.

ORDER

It is ordered that no penalty be assessed because the appropriate penalty is under \$50.

RIGHT TO JUDICIAL REVIEW

You have the right to appeal this Order to the Oregon Court of Appeals pursuant to ORS 183.482. To appeal you must file a petition for judicial review with the Court of Appeals within 60 days from the day this Order was served to you. Because this Order was mailed to you, the date of service is the day it was *mailed*, not the day you received it. If you do not file a petition for judicial review within the 60-day time period, you will lose your right to appeal.

Candy Broucek, Compliance Specialist

DATE of Service: _____