

BILL BRADBURY
SECRETARY OF STATE



ELECTIONS DIVISION
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ELECTIONS — (503) 986-1518

BEFORE THE SECRETARY OF STATE
OF THE
STATE OF OREGON

In the matter of an Insufficient Contribution and Expenditure Report by Friends of Jackie Winters, Jackie Winters, Candidate, Warren J. Thompson, Treasurer, for the First Pre-Election for the May 16, 2006, Primary Election)
) Final Order by Default
)
) Case No. I9316
)

November 15, 2007

Friends of Jackie Winters (002225)
Jackie Winters, Candidate / Warren J. Thompson, Treasurer
PO Box 126
Salem, OR 97308

Dear Senator Winters:

This is a Final Order by Default issued by the Secretary of State (secretary) for your failure to file a sufficient first pre-election contribution and expenditure report for the May 16, 2006, Primary Election.

On September 5, 2007, the secretary notified the Friends of Jackie Winters (committee), pursuant to ORS 260.232(2), that it had failed to file a sufficient report and that the secretary may impose a civil penalty. An opportunity for a hearing was provided in that notice; however, no request for a hearing was received by the secretary within the time permitted in the notice. Accordingly, after consideration of the records and files of the secretary regarding this matter, the secretary is entering the following Order.

FINDINGS OF FACT

1. The committee's Statement of Organization (SEL 220), applicable during the reporting period, was filed with the secretary on February 12, 2002. The statement reported Warren J. Thompson as the treasurer and PO Box 126, Salem, OR 97308 as the mailing address for both candidate and committee correspondence.
2. The committee filed a first pre-election report with the secretary on April 10, 2006.
3. On April 25, 2006, the secretary sent the committee an exam letter that itemized the areas where the report was not in compliance. The committee was asked to submit the necessary amended information by May 4, 2006, to avoid penalties for failure to file a sufficient report.

4. On the day following the May 4, 2006, amendment deadline, the committee's report was still missing the following required information: a form PC 3A, Personal Expenditures for Reimbursement, detailing personal expenditures of \$14.00 made by the candidate or treasurer.
5. On September 5, 2007, the secretary sent the committee a Notice of Proposed Civil Penalty. The notice was sent by both certified and first class mail to the candidate and was sent by first class mail to the treasurer at the above-referenced address. The notice proposed a civil penalty of \$.70 and allowed the committee 20 days to request a hearing. The notice sent by certified mail was received and signed for by L. Kelley on September 7, 2007.
6. Payment of the proposed civil penalty of \$10.70 was received in the office of the Secretary of State on September 17, 2007.
7. On September 26, 2007, the secretary requested \$10.00 be refunded to the committee because the penalty was overpaid.
8. The committee did not request a public hearing or submit notarized testimony in lieu of a public hearing.
9. The secretary has record of no previous insufficient filings during the two-year period beginning September 15, 2005.

ULTIMATE FINDINGS OF FACT

Jackie Winters, Candidate, and Warren J. Thompson, Treasurer, failed to file a sufficient first pre-election contribution and expenditure report for the May 16, 2006, Primary Election.

CONCLUSIONS OF LAW

Candidate Jackie Winters's and Treasurer Warren J. Thompson's failure to file a sufficient first pre-election contribution and expenditure report for the May 16, 2006, Primary Election violated ORS 260.058, ORS 260.083 and OAR 165-012-0005. A civil penalty may be imposed for this violation under ORS 260.232(1)(b).

A maximum \$10,000 civil penalty may be imposed for this violation. The *2006 Campaign Finance Manual*, which contains a penalty calculation matrix on pages 108 and 109, is designated in OAR 165-012-0005 as the procedure manual for Oregon campaign finance regulations.

Since this is the first violation by the candidate, the penalty is \$10.00 per omission.

ORDER

Payment of the proposed civil penalty of \$.70 was received in the office of the Secretary of State on September 17, 2007. This Order will serve as your receipt and this matter is now considered closed.

RIGHT TO JUDICIAL REVIEW

You have the right to appeal this Order to the Oregon Court of Appeals pursuant to ORS 183.482. To appeal you must file a petition for judicial review with the Court of Appeals within 60 days from the day this Order was served to you. Because this Order was mailed to you, the date of service is the day it was *mailed*, not the day you received it. If you do not file a petition for judicial review within the 60-day time period, you will lose your right to appeal.

Stacey Jackson, Compliance Specialist

DATE of Service: November 15, 2007

c: Warren J. Thompson, Treasurer
PO Box 126
Salem, OR 97308